

Technical, Managerial, and Financial Capacity

The Three Elements Of Capacity

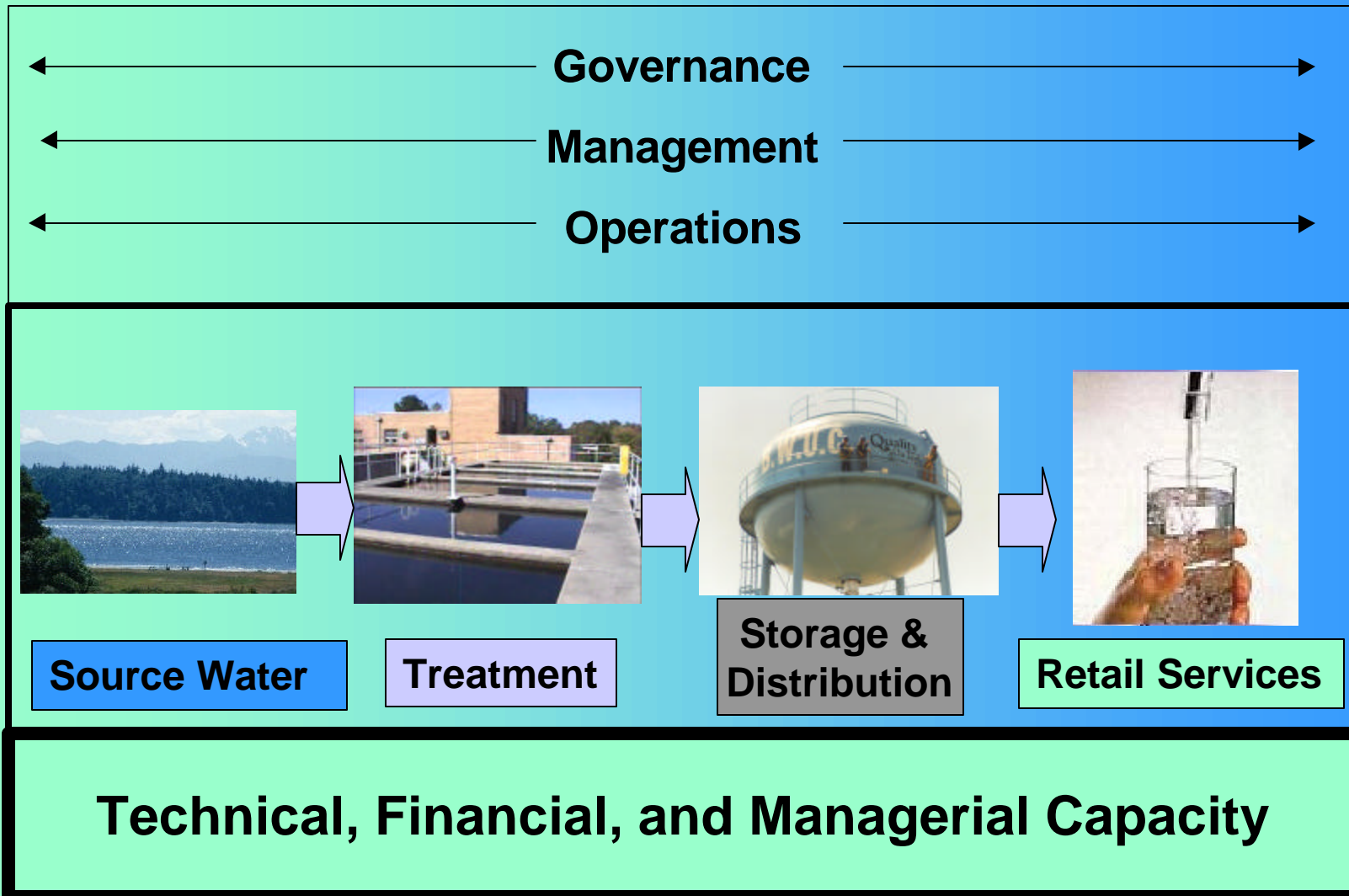


Technical

Financial

Managerial

Strategic, Functional Water System Model



Technical Capacity



- Source Water
- Infrastructure
- O&M

Financial Capacity



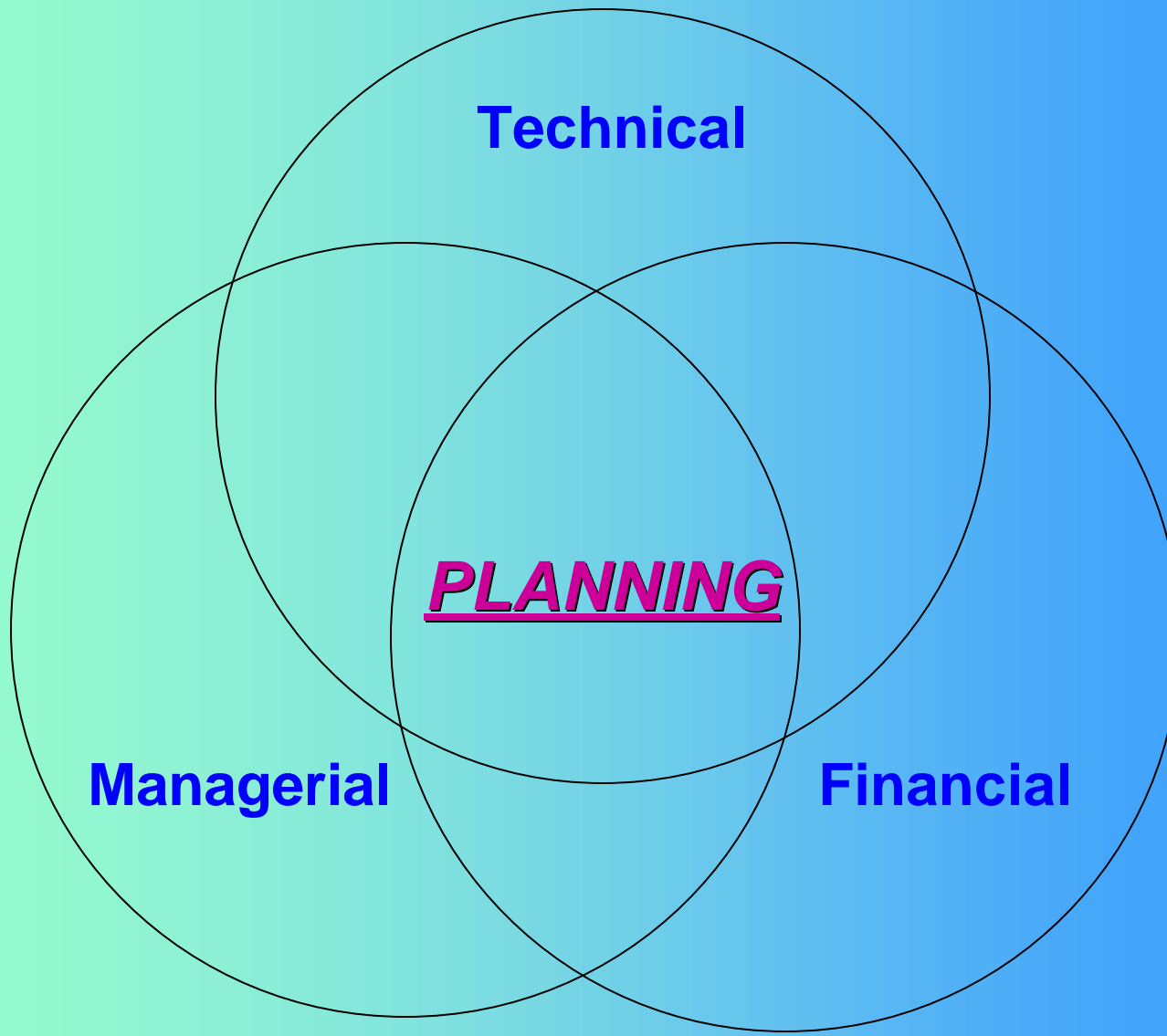
- Revenue Sufficiency
- Credit Worthiness
- Fiscal Management

Managerial Capacity



- Ownership Accountability
- Staffing & Organization
- Effective External Linkages

Dimensions Of Capacity Are Distinct But Interrelated



Assessment of Internal Capacity

		Elements of Capacity	Strengths	Weaknesses
Internal System Assessment	Technical	Source Water Adequacy		
		Infrastructure Adequacy		
		O & M		
	Managerial	Ownership Structure & Accountability		
		Staffing and Organization		
		External Linkages		
	Financial	Revenue Sufficiency		
		Credit Worthiness		
		Fiscal Management & Controls		

Examples Of Capacity Assessment Tools

- NRW Self-Assessments
- State Self-Assessments (e.g., CA, PA, IA)
- The “Dozen Questions” (AWWA)
- Financial Viability Assessments Developed by PUCs
- Sanitary Surveys
- Permit Application Data
- Criteria Used by Lenders

